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2122

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: G. C. Charters et al

Date: June 7, 2002

Serial No.: 09/683,902

Group Art Unit: 2122

Filed: February 28, 2002

Examiner:

For: METHOD, APPARATUS AND
COMPUTER PROGRAM PRODUCT FOR
INTEGRATING HETEROGENEOUS SYSTEMS

Docket No.: GB920000092US1

Assistant Commissioner for Patents
Washington, D.C. 20231

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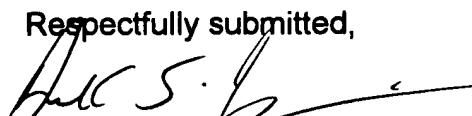
Technology Center 2100

TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,


Derek S. Jennings
Reg. Patent Agent/Engineer
Reg. No. 41,473
(914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598



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CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Transmittal Letter

Information Disclosure Statement Transmittal Letter

PTO-1449 Form with 3 references

Acknowledgment Postcard

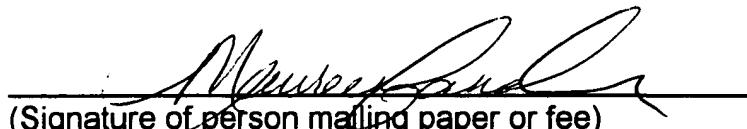
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TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

- A) Transmittal Letter
- B) Information Disclosure Statement Transmittal Letter
- J) PTO-1449 Form With 3 References Attached
- K) Acknowledgment Postcard

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In the event any additional extensions of time are required, please treat this paper as a petition
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Respectfully submitted,


Derek S. Jennings - Date June 7, 2002
Reg. Patent Agent/Engineer
Reg. No. 41,473
Tel. No. (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

FORM PTO-1449 (Modified JUN 14 2002)

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

ATTY. DOCKET NO.

GB920000092US1

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APPLICANT:

G. C. Charters et al.

JUN 19 2002

Technology Center 2100

FILING DATE:

February 28, 2002

GROUP: 2122

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AC							
	AD							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AE	Jim Gray, Digital Equipment Corp., Andreas Reuter, University of Stuttgart, "Transaction Processing: Concepts and Techniques", pp. 6-7, Morgan Kaufmann Publishers Inc., San Mateo, CA, 1994.
AG	Ahmed K. Elmagarmid, "Database Transaction Models For Advanced Applications", pp. 35-44, Morgan Kaufmann Publishers Inc., San Mateo, CA, 1995.
AH	Sushil Jajodia and Larry Kerschberg, George Mason University, Fairfax, VA, "Advanced Transaction Models And Architectures", pp. 28-34, Kluwer Academic Publishers, Norwell, MA, 1997.
AI	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.